

Att: Deposit Account Branch. (Total 2pages).

Kazu Kubotera

571-273-6500

From: DaAdmin [DaAdmin@USPTO.GOV]
Sent: October 19 2005 1:14 PM
To: kkazu@verizon.net
Subject: Re: Mistake on Monthly Statement [X200509277710]

This goes to

please fax this to ATTN: deposit accounts branch. the fax number is 571-273-6500.

~~Research~~

-----Original Message-----

From: Kazu Kubotera
Sent: Wednesday, October 19, 2005 1:12 PM
To: DaAdmin
Subject: RE: Mistake on Monthly Statement [X200509277710]

*Rebuild
Balance*

As of today, I have not received any information from PTO.
Besides, I received monthly statement today, and found that the balance is still not corrected.

Your prompt response will be greatly appreciated.

Kazunao Kubotera, Ph.D.

Takeuchi & Kubotera, LLP
200 Daingerfield Rd.,
Suite 202
Alexandria, VA 22314
Tel: 1-703-684-9777
Fax: 1-703-684-1390

10,687,804

-----Original Message-----

From: DaAdmin [mailto:DaAdmin@USPTO.GOV]
Sent: Tuesday, September 27, 2005 2:56 PM
To: kkazu@verizon.net
Subject: Re: Mistake on Monthly Statement [X200509277710]

Dear Kazu Kubotera,
Thank you for contacting the Deposit Account Branch. You should receive a response within 2-3 business days. The tracking number for your inquiry is X200509277710.
Deposit Account Branch (571) 272-6500

-----Original Message-----

From: Kazu Kubotera
Sent: Tuesday, September 27, 2005 2:55 PM
To: DaAdmin
Subject: Mistake on Monthly Statement

To whom it may concern;

Account No. 200060

I received the monthly statement dated on 8/31/2005.

There are two mistakes on the statement.

1. On 08/01/05, control No. 1, \$1020.00 was charged on B0306T for fee code 1253. However, the response needs only one month extension. Therefore, the fee is supposed to be \$120.00.

2. On 08/01/05, control No. 2, \$1020.00 was charged on B0306T for fee code 1253. This appears to be duplicate charge, and should be canceled.

Please confirm the mistake, and refund money to the account.

Thanks.

Kazunao Kubotera, Ph.D.

Takeuchi & Kubotera, LLP
200 Daingerfield Rd.,
Suite 202
Alexandria, VA 22314
Tel: 1-703-684-9777
Fax: 1-703-684-1390



SPW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takahito Suzuki
Title: OPTICAL SEMICONDUCTOR DEVICE HAVING
SEMICONDUCTOR LASER AND ELECTROABSORPTIVE
MODULATOR
Application No.: 10/687,804
Filed: 10/20/2003
Art Unit: 2826
Examiner: Minh-Loan Tran
Docket No.: B-0306T

REPLY TO NON-FINAL ACTION

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Submitted: July 21, 2005

Dear Sir:

In response to the Office Action of 03/28/2005, please
amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of
claims which begins on page 6 of this paper.

Remarks begin on page 10 of this paper.

11/04/2005 SDIRETA1 00000001 200060 10687804

01 FC:1251 120.00 DA

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(3) 810.00

Complete if known

Application Number	N/A - 10/087804
Filing Date	10/20/03
First Named Inventor	Takahito SUZUKI
Examiner Name	N/A
Group Art Unit	N/A
Attorney Docket No.	B0306T

2ee

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 20-0060
- Deposit Account Name: Takeuchi Patent Office
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.10 and 1.17
- ☐ Applicant claims small entity status, See 37 CFR 1.37
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	770
106 320	200 160	Design filing fee	
107 490	207 240	Plant filing fee	
108 710	200 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (3) 770

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from Subtotal	Fee Paid
Independent Claims	-20** = 0	X 0	0
Multiple Dependent Claims	-3** = 0	X 0	0

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description
103 10	203 5	Claims in excess of 20
102 00	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claims, if not paid
109 00	209 40	** Reissue independent claims over original patent
110 10	210 5	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (3) 0

** Number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (1)	Small Entity Fee Code (2)	Fee Description	Fee Paid
105 130	205 65	Overcharge - late filing fee or other	
127 50	227 25	Overcharge - late provisional filing fee or cover sheet	
130 130	130 130	Non-English specification	
147 2520	147 2520	For filing a request for oral proceedings	
112 920	112 920	Requesting publication of SII prior to Examiner action	
113 1040	113 1040	Requesting publication of SII after Examiner action	
116 110	216 55	Extension for reply within first month	
110 390	210 195	Extension for reply within second month	
117 090	217 445	Extension for reply within third month	
110 1300	210 650	Extension for reply within fourth month	
120 1000	220 500	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
130 1510	130 1510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavailability	
141 1240	241 620	Petition to revive - withdrawal	
142 1240	242 620	Utility issue fee (or release)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 00	123 00	Processing fee under 37 CFR 1.17(a)	
126 100	126 100	Submission of Information Disclosure Sheet	
501 40	501 40	Recording each patent and payment per property (three number of properties)	40
140 710	240 355	Filing a submission after final rejection (37 CFR 1.129(a))	
149 710	249 355	For each additional burden to be examined (37 CFR 1.129(b))	
179 710	270 355	Request for Continued Examination (RCE)	
109 900	109 900	Request for expedited examination of a design application	

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (3) 40

SUBMITTED BY

Name (Printed)	Satoru Takeuchi	Registration No. (Attorney/Agent)	53,670	Telephone	703 519-9705
Signature	S. Takeuchi	Date	10/20/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2030.

Under How Statement: This form is submitted to take U.S. Patent to complete. This will vary depending upon the needs of the individual case. Any comments on the ground of this you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20503.

000000 RICHARD 00000002 200060 10687804

FC:1253 1020.00 CR

Adjustment Date: 11/04/2005 SDIRETA1
 08/01/2005 RICHARD 00000001 200060 10687804
 01 FC:1253 1020.00 CR

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 08/01/2005 RICHARD 00000002 200060 10687804
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